

17-10-01

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PTO/SB/05 (11-00)
 Approved for use through 10/31/2002. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	708-1005
First Inventor	Heath
Title	Optical Power Transient Monitor
Express Mail Label No.	EL 761 854 483 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification [Total Pages **10**]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]5. Oath or Declaration [Total Pages **4**]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on.
- i. ☐ CD-ROM or CD-R (2 copies), or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
 under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
 reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr.				
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson				
Address	P. O. Box 2786				
City	Chicago	State	IL	Zip Code	60690-2786
Country	USA	Telephone	312-368-1300	Fax	312-368-0034

Name (Print/Type) William M. Lee, Jr.

Registration No. (Attorney/Agent)

26,935

Signature

Date

November 2, 2001

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 comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,
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 Patent Application, Washington, DC 20231.

11/02/01

JC498 U.S. PTO

11/02/01

11/02/01
 10/008080
 JC997 U.S. PTO

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Heath
Examiner Name	
Group Art Unit	
Attorney Docket No.	708-1005

TOTAL AMOUNT OF PAYMENT \$780.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0913**

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR § 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	370			Utility filing fee	740.00
106	206	330	165			Design filing fee	
107	207	510	255			Plant filing fee	
108	208	740	370			Reissue filing fee	
114	214	160	80			Provisional filing fee	
SUBTOTAL (1)							\$740.00

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 11 - 20** = 0 X 0.00 = 0.00		
Independent Claims 3 - 3** = 0 X 0.00 = 0.00		
Multiple Dependent		

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	9			Claims in excess of 20	
102	202	84	42			Independent claims in excess of 3	
104	204	280	140			Multiple dependent claim, if not paid	
109	209	84	42			** Reissue independent claims over original patent	
110	210	18	9			** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)							\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65			Surcharge - late filing fee or oath	
127	227	50	25			Surcharge - late provisional filing fee or cover sheet	
139	239	130	130			Non - English specification	
147	247	2,520	2,520			For filing a request for ex parte reexamination	
112	212	920*	920*			Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*			Requesting publication of SIR after Examiner action	
115	215	110	55			Extension for reply within first month	
116	216	400	200			Extension for reply within second month	
117	217	920	460			Extension for reply within third month	
118	218	1,440	720			Extension for reply within fourth month	
128	228	1,960	980			Extension for reply within fifth month	
119	219	320	160			Notice of Appeal	
120	220	320	160			Filing a brief in support of an appeal	
121	221	280	140			Request for oral hearing	
138	238	1,510	1,510			Petition to institute a public use proceeding	
140	240	110	55			Petition to revive - unavoidable	
141	241	1,280	640			Petition to revive - unintentional	
142	242	1,280	640			Utility issue fee (or reissue)	
143	243	460	230			Design issue fee	
144	244	620	310			Plant issue fee	
122	222	130	130			Petitions to the Commissioner	
123	223	50	50			Processing fee under 37 CFR § 1.17(q)	
126	226	180	180			Submission of Information Disclosure Statement	
581	2581	40	40			Recording each patent assignment per property (times number of properties)	40.00
146	246	740	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740	370			Request for Continued Examination (RCE)	
169	269	900	900			Request for expedited examination of a design application	
Other fee (specify)							
SUBTOTAL (3)							\$40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935	Telephone	312-368-1300
Signature		Date	November 2, 2001		

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Please acknowledge
receipt of the
enclosed:
New Patent Application of:

Heath, et al

OPTICAL POWER TRANSIENT MONITOR

1. Fee Transmittal Letter
2. Utility Patent Application Transmittal
3. Declaration and Specification
4. 3 Sheets of Drawings
5. Assignment Cover Sheet w/Assignment
6. Check No. 46779 for \$780.00
7. Request an Certification Under 35 U.S.C.
122(b)(2)(B)(i)
8. Certificate of EXPRESS MAIL.
9. Return Post Card.

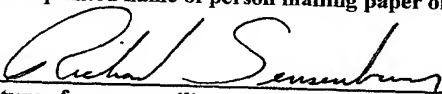
DUE DATE (If Any): New Application
DATE SENT: November 2, 2001
tjb

"Express Mail" mailing label number
EL 761 854 483 US

Date of deposit: November 2, 2001

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Washington, D.C. 20231.

Richard Sensenbrenner
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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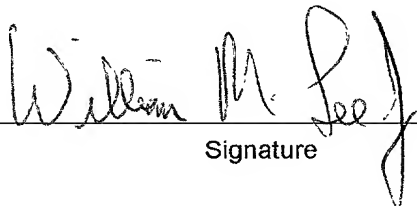
**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Heath	
Title	Optical Power Transient Monitor	
Atty Docket Number	708-1005	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 2, 2001

Date



Signature

William M. Lee, Jr.

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**